



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| | | | | |
|--|-------------|---------------------------------|---------------------|------------------|
| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| 10/534,903 | 05/16/2005 | Ramkrishnan Venkata Subramanian | 1890-0248 | 2393 |
| 50255 | 7590 | 03/17/2008 | | |
| MAGINOT, MOOR & BECK 111 MONUMENT CIRCLE, SUITE 3000 BANK ONE CENTER/TOWER INDIANAPOLIS, IN 46204 | | | EXAMINER | |
| | | | PARK, JEONG S | |
| | | | ART UNIT | PAPER NUMBER |
| | | | 2154 | |
| | | | | |
| | | | MAIL DATE | DELIVERY MODE |
| | | | 03/17/2008 | PAPER |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | | |
|------------------------------|--------------------------------------|---|
| Office Action Summary | Application No. 10/534,903 | Applicant(s) VENKATA SUBRAMANIAN ET AL. |
| | Examiner JEONG S. PARK | Art Unit 2154 |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
 - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
 - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) Responsive to communication(s) filed on 13 December 2007.
- 2a) This action is FINAL. 2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) Claim(s) 9-28 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) Claim(s) _____ is/are allowed.
- 6) Claim(s) 9-28 is/are rejected.
- 7) Claim(s) _____ is/are objected to.
- 8) Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on 16 May 2005 is/are: a) accepted or b) objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) All b) Some * c) None of:
1. Certified copies of the priority documents have been received.
 2. Certified copies of the priority documents have been received in Application No. _____.
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date: _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/1648) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date: _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This action is in response to communications filed December 13, 2007.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 9, 11-16, 18-21 and 23-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bolan al. (hereinafter Bolan)(U.S. Patent No. 5,210,828), and further in view of Wu (U.S. Patent No. 6,151,644).

Regarding claims 9, 21 and 25, Bolan teaches as follows:

A mailbox apparatus or a method (interprocessor communication facility 50 contains arbitration circuitry 60, mailbox circuitry 100 and processor interrupt circuitry 100 in figure 1, see, e.g., col. 3, lines 56-58) for temporally storing messages (mailbox circuitry receives message from sending processors and provides them to the intended receiving processors, see, e.g., col. 5, lines 6-9), each message including a sequence of one or more data packets being transferred between a plurality of locations (segmentation is inherent for large message size depending on the bus transferring capability), the mailbox apparatus (interprocessor communication facility, 50 in figure 1 and 2) including a main memory (holding register, 61-63 in figure 2), an ancillary memory (mailbox array 105 and message output register 160 in figure 2)(see, e.g., col. 5, lines 6-16 and figure 2), and a control unit which is arranged to:

Receive a first message from one of the plurality of locations (arbitration circuitry, 60 in figure 2, decodes the commands sent from the processors through communication bus 41-43 and routes them either to processor interrupt circuitry or to mailbox circuitry, see, e.g., col. 3, line 67 to col. 4, line 4) without storing the first data packet in the main memory (the first data packet of the first message is inherently pass through the holding register (61-63 in figure 2, equivalent to applicant's main memory, see, e.g., col. 4, lines 5-19) and is stored at the mailbox entry because the mailbox entry for each processor is empty when the interprocessor communication facility (50 in figure 2, equivalent to applicant's mailbox apparatus) receives the first message);

Store at least a first data packet of the first message in the ancillary memory (mailbox array 105 and message output register 160 in figure 2) and at least one other data packet of the first message in the main memory (holding register, 61-63 in figure 2)(see, e.g., col. 6, lines 36-57); and

In response to a read signal (read message command), transmit the first data packet of the first message from the ancillary memory to another location (message output register sends the message to the processor who issued the read command), replenish the ancillary memory by transferring at least one of the at least one other data packet of the first message to the ancillary memory from the main memory (message is retrieved from the addressed mailbox entry and placed in message output register)(see, e.g., col. 6, lines 48-57) and transmit that the at least one of the at least one other data packet of the first message from the ancillary memory to another location (when the message is retrieved from the addressed mailbox entry the message is placed in

message output register (160 in figure 2) to transmit to the other processor (20 in figure 2), see, e.g., col. 6, lines 48-57).

Wu further teaches as follows:

A buffer memory (18 in figure 2) consists of a set of uniform sized packet buffers (interpreted as multiple memories) which are subdivided into a set of smaller packet cells of uniform size (see, e.g., abstract);

Packet buffer (10 in figure 1) receives and stores data packets arriving sequentially on a network bus and then forwards them outward on another network bus in the order received (packet buffer temporally stores sequence of packets being transferred between a plurality of locations, see, e.g., col. 3, lines 25-37); and

When receive module receives a large packet, which is larger than can be stored in a single packet buffer, it reserves the next unoccupied packet buffer, wherein the packet buffer PB0 is interpreted as applicant's ancillary memory and packet buffer PB1 as the main memory (see, e.g., col. 4, lines 30-63).

Therefore the first portion of the large packet is stored at the packet buffer PB0 (equivalent to applicant's ancillary memory) and the rest of the large packet are stored at the packet buffer PB1-PBN (equivalent to applicant's main memory).

It would have been obvious for one of ordinary skill in the art at the time of the invention to modify Bolan to include a plurality of packet buffers as taught by Wu in order to efficiently transfer sequence of packets between input and output buses.

Regarding claims 11, 18 and 23, Bolan teaches as follows:

The ancillary memory (mailbox circuitry) is implemented as registers (message

output register, see, e.g., col. 5, lines 10-12).

Regarding claims 12, 19, 24, 27 and 28, Bolan teaches as follows:

The control unit is further configured to transmit the stored data packet from the ancillary memory (message output register sends the message to the processor who issued the read command) and replenish the ancillary memory (message is retrieved from the addressed mailbox entry and placed in message output register, see, e.g., col. 6, lines 48-57);

Four clock cycles to decode and execute a command (see, e.g., col. 5, lines 56-68);

Each mailbox portion (110, 120, and 130 in figure 2, equivalent to applicant's ancillary memory) contains one or more mailbox entries (see, e.g., col. 5, lines 16-18);

When the message is retrieved from the addressed mailbox entry the message is placed in message output register (160 in figure 2)(see, e.g., col. 6, lines 48-57); and

Since the mailbox portion has limited number of mailbox entries, the other data packets, which were waiting at the holding register, is inherently processed to the mailbox portion when the mailbox entry is empty (clock bit 151 in figure 4 is zero, see, e.g., col. 5, lines 33-38).

Therefore, it would have been obvious for one of ordinary skill in the art at the time of the invention to process the replenishing function (receiving the other data packet from the holding register to the mailbox portion) together with transmitting the stored data packet from the ancillary memory (transmitting to the output register).

Regarding claims 13 and 20, Bolan teaches as follows:

The ancillary memory is configured to store a number of data packets which is at least equal to a number of clock periods required to extract any data packet from the main memory (four clock cycles to decode and execute a command, see, e.g., col. 5, lines 56-68, therefore each clock cycle completes one extraction of data packet from the main memory for write/read commands).

Regarding claim 14, Bolan teaches as follows:

A plurality of ancillary memories (mailbox portions, 110, 120 and 130 in figure 2), each ancillary memory having a distinct corresponding locations, each ancillary memory being arranged to store data packets to be transmitted to the corresponding location (mailbox array, 105 in figure 2, contains mailbox portions, which are reserved for the use of processors, 10, 20 and 30 in figure 2, see, e.g., col. 5, lines 12-18).

Regarding claim 15, Bolan teaches as follows:

Message field segment contains the beginning and ending of address of the task control block located in main storage, see, e.g., col. 5, lines 27-33).

It would have been obvious for one of ordinary skill in the art at the time of the invention to modify Bolan to include message segmentation in order to transfer large size of message beyond the capacity of bus between two processors into multiple of proper packets.

Regarding claims 16 and 26, Bolan teaches as follows:

A plurality of processors or a method (10, 20 and 30 in figures 1 and 2) and a mailbox apparatus (interprocessor communication facility 50 contains arbitration circuitry 60, mailbox circuitry 100 and processor interrupt circuitry 100 in figure 1, see,

e.g., col. 3, lines 56-58), a first processor (processor 10 in figure 2) of the plurality of processors being arranged to transfer a message to a second processor (processor 20 in figure 2) of the plurality of processors by transmitting the message as a series of data packets to the mailbox apparatus (mailbox circuitry 100 in figure 2) and sending a signal (interrupt signal) to the second processor to indicate the presence of the message in the mailbox apparatus (sends an interrupt to processor 20 indicating a synchronous message is in the mailbox waiting for processing, see, e.g., col. 9, lines 14-20), the second processor being arranged in response to send a read signal to the mailbox apparatus (processor 20 use a read message command to get the message, see, e.g., col. 9, lines 20-21)(synchronous message transaction between processor 10 to processor 20, see, e.g., col. 8, line 63 to col. 9, line 33);

The mail box apparatus comprising a main memory, an ancillary memory, and a control unit which is arranged to (see, e.g., col. 5, lines 6-16 and figure 2);

Receive the message from the first processor (arbitration circuitry, 60 in figure 2, decodes the commands sent from the processors through communication bus 41-43 and routes them either to processor interrupt circuitry or to mailbox circuitry, see, e.g., col. 3, line 67 to col. 4, line 4);

Store at least a first data packet of the message in the ancillary memory (mailbox array 105 and message output register 160 in figure 2) without storing the first data packet in the main memory (the first data packet of the first message is inherently pass through the holding register (61-63 in figure 2, equivalent to applicant's main memory, see, e.g., col. 4, lines 5-19) and is stored at the mailbox entry because the mailbox entry

for each processor is empty when the interprocessor communication facility (50 in figure 2, equivalent to applicant's mailbox apparatus) receives the first message) and store at least one other data packet of the message in the main memory (holding register, 61-63 in figure 2)(see, e.g., col. 6, lines 36-57); and

In response to a read signal (read message command), transmit the first data packet of the first message from the ancillary memory to another location (message output register sends the message to the processor who issued the read command), replenish the ancillary memory by transferring at least one of the at least one other data packet of the first message to the ancillary memory from the main memory (message is retrieved from the addressed mailbox entry and placed in message output register)(see, e.g., col. 6, lines 48-57) and transmit that the at least one of the at least one other data packet of the first message from the ancillary memory to another location (when the message is retrieved from the addressed mailbox entry the message is placed in message output register (160 in figure 2) to transmit to the other processor (20 in figure 2), see, e.g., col. 6, lines 48-57).

Wu further teaches as follows:

A buffer memory (18 in figure 2) consists of a set of uniform sized packet buffers (interpreted as multiple memories) which are subdivided into a set of smaller packet cells of uniform size (see, e.g., abstract);

Packet buffer (10 in figure 1) receives and stores data packets arriving sequentially on a network bus and then forwards them outward on another network bus in the order received (packet buffer temporally stores sequence of packets being

transferred between a plurality of locations, see, e.g., col. 3, lines 25-37); and

When receive module receives a large packet, which is larger than can be stored in a single packet buffer, it reserves the next unoccupied packet buffer, wherein the packet buffer PB0 is interpreted as applicant's ancillary memory and packet buffer PB1 as the main memory (see, e.g., col. 4, lines 30-63).

Therefore the first portion of the large packet is stored at the packet buffer PB0 (equivalent to applicant's ancillary memory) and the rest of the large packet are stored at the packet buffer PB1-PBN (equivalent to applicant's main memory).

It would have been obvious for one of ordinary skill in the art at the time of the invention to modify Bolan to include a plurality of packet buffers as taught by Wu in order to efficiently transfer sequence of packets between input and output buses.

4. Claims 10, 17 and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bolan al. (hereinafter Bolan)(U.S. Patent No. 5,210,828) and Wu (U.S. Patent No. 6,151,644) as applied to claims 9, 16 and 21 above, and further in view of Ternes et al. (hereinafter Ternes)(U.S. Patent No. 4,935,894).

Regarding claims 10, 17 and 22, Bolan teaches all the limitations of claim except for teaching of using FIFO register as an ancillary memory.

Ternes teaches as follows:

Bus interface circuit (40 and 50 in figure 2) comprises a first-in-first-out (FIFO) register stack (55 and 60 in figure 2), interrupt logic, and transmitter/receiver logic. The pair of bus interface circuits provides dual simplex data and control transfer between the two buses (see, e.g., col. 2, lines 46-50 and figure 2).

It would have been obvious for one of ordinary skill in the art at the time of the invention to modify Bolan to include FIFO register as a buffer memory as taught by Ternes in order to process multiple packets in sequence between two processors.

Response to Arguments

5. Applicant's arguments filed 13 December 2007 with respect to claims 9-28 have been considered but are moot in view of the new ground(s) of rejection.

A. Summary of Applicant's Arguments

In the remarks, the applicant argues as follows:

1) Regarding claims 9, 21 and 26, Bolan does not disclose at least:
the first data packet only being stored in the ancillary memory,
segmentation of the message, and
replenishing the ancillary memory with other data packets of a specific message
from the main memory;

2) Regarding claims 10, 17 and 22, there is no suggestion or motivation in the art for combining the references; and

3) Regarding claims 12 and 15, Bolan does not disclose the required limitations of claims 9, 21 or 26. Also, Applicant does not agree that Bolan teaches the limitations added by any of these dependant claims. For example if Bolan does teach four clock cycles to decode and execute a command, as alleged by the Examiner, then the Examiner has clearly shown that Bolan teaches directly away from claim 12.

B. Response to Arguments:

In response to argument 1), Bolan teaches as follows:

Each mailbox portion (110, 120, and 130 in figure 2, equivalent to applicant's ancillary memory) contains one or more mailbox entries (see, e.g., col. 5, lines 16-18);

The first data packet of the first message is inherently pass through the holding register (61-63 in figure 2, equivalent to applicant's main memory, see, e.g., col. 4, lines 5-19) and is stored at the mailbox entry because the mailbox entry for each processor is empty when the interprocessor communication facility (50 in figure 2, equivalent to applicant's mailbox apparatus) receives the first message;

8 bytes of data (interpreted as a packet) is the message from one processor to another (see, e.g., col. 5, lines 19-24, therefore the segmentation for the larger than 8 byte long message is inherent and the second packet of the same message is stored at the second mailbox entry within the same mailbox portion);

When the message is retrieved from the addressed mailbox entry the message is placed in message output register (160 in figure 2)(see, e.g., col. 6, lines 48-57); and

Since the mailbox portion has limited number of mailbox entries, the other data packets, which were waiting at the holding register, is inherently processed to the mailbox portion when the mailbox entry is empty (rock bit 151 in figure 4 is zero, see, e.g., col. 5, lines 33-38).

In response to argument 2), Bolan teaches as follows:

Each mailbox portion (110, 120, and 130 in figure 2, equivalent to applicant's ancillary memory) contains one or more mailbox entries (see, e.g., col. 5, lines 16-18), wherein the examiner interpreted the each mailbox entry as a FIFO memory stack because the mailbox portion processes the entries in time sequence.

Even if Bolan implicitly teaches the FIFO memory stack, Ternes teaches more details of using FIFO register stack as a memory buffer between multi-processors (see, e.g., col. 4, lines 24-47).

Therefore, it would have been obvious for one of ordinary skill in the art at the time of the invention to modify Bolan to include FIFO register as a buffer memory as taught by Ternes in order to process multiple packets (Bolan's multiple entries) in sequence between two processors.

In response to argument 3), Bolan teaches as follows:

Each mailbox portion (110, 120, and 130 in figure 2, equivalent to applicant's ancillary memory) contains one or more mailbox entries (see, e.g., col. 5, lines 16-18);

When the message is retrieved from the addressed mailbox entry the message is placed in message output register (160 in figure 2)(see, e.g., col. 6, lines 48-57); and

Since the mailbox portion has limited number of mailbox entries, the other data packets, which were waiting at the holding register, is inherently processed to the mailbox portion when the mailbox entry is empty (rock bit 151 in figure 4 is zero, see, e.g., col. 5, lines 33-38).

Therefore, it would have been obvious for one of ordinary skill in the art at the time of the invention to process the replenishing function (receiving the other data packet from the holding register to the mailbox portion) together with transmitting the stored data packet from the ancillary memory (transmitting to the output register).

Wu further teaches as follows:

A buffer memory (18 in figure 2) consists of a set of uniform sized packet buffers

(interpreted as multiple memories) which are subdivided into a set of smaller packet cells of uniform size (see, e.g., abstract);

Packet buffer (10 in figure 1) receives and stores data packets arriving sequentially on a network bus and then forwards them outward on another network bus in the order received (packet buffer temporally stores sequence of packets being transferred between a plurality of locations, see, e.g., col. 3, lines 25-37); and

When receive module receives a large packet, which is larger than can be stored in a single packet buffer, it reserves the next unoccupied packet buffer, wherein the packet buffer PB0 is interpreted as applicant's ancillary memory and packet buffer PB1 as the main memory (see, e.g., col. 4, lines 30-63).

Therefore the first portion of the large packet is stored at the packet buffer PB0 (equivalent to applicant's ancillary memory) and the rest of the large packet are stored at the packet buffer PB1-PBN (equivalent to applicant's main memory).

It would have been obvious for one of ordinary skill in the art at the time of the invention to modify Bolan to include a plurality of packet buffers as taught by Wu in order to efficiently transfer sequence of packets between input and output buses.

Conclusion

6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to JEONG S. PARK whose telephone number is (571)270-1597. The examiner can normally be reached on Monday through Friday 7:00 - 3:30 EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nathan Flynn can be reached on 571-272-1915. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2143

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/J. S. P./
Examiner, Art Unit 2154

March 13, 2008

/Joseph E. Avellino/
Primary Examiner, Art Unit 2143